

04-03-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket  
No.

P01,0121

First Named Inventor or Application Identifier

Tony Albrecht et al,

Express Mail Label No: # EJ077704690US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 39 ]  
2. ☒ Drawing(s) (35USC 113) [Total Pages 14 ]  
3. ☒ Declaration and Power of Attorney [Total Pages 2 ]

- a. ☐ Newly executed (Original copy)  
b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

### [Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)  
6. ☒ Letter under 37 CFR 1.41(c).  
7. ☐ English Translation Document (if applicable)  
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
9. ☐ Preliminary Amendment  
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
11. ☐ Small Entity Status (37 CFR 1.27)  
12. ☐ Certified Copy of 2 Priority Document(s) German  
Application No. 100 26 734.3 filed May 30, 2000 and  
No. 101 18 079.4 filed February 20, 2001  
13. ☐ Other. \_\_\_\_\_

44. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No. \_\_\_\_\_ / \_\_\_\_\_

### CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710 00
TOTAL CLAIMS 20	29	9	\$18 00	\$162 00
INDEPENDENT CLAIMS 3	3			
ANY MULTIPLE DEPENDENT CLAIMS? (YES (X) NO)				
			TOTAL FILING FEE ->	\$872 00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501-519. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 872.00 to cover the filing fee is enclosed.

## 15. CORRESPONDENCE ADDRESS

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JS/VS:BC

DATE: April 2, 2001

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April 2, 2001

Hon. Assistant Commissioner for Patents  
Washington D.C. 20231

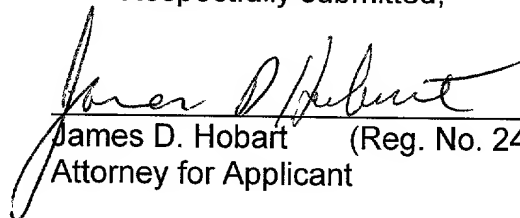
Re: Proposed Patent Application for TONY ALBRECHT, NORBERT LINDER,  
and JOHANN LUFT entitled "OPTICALLY PUMPED, SURFACE-  
EMITTING SEMICONDUCTOR LASER DEVICE AND METHOD FOR  
THE MANUFACTURE THEREOF" Attorney Docket No. P01,0121

S I R:

Under the provisions of 37 CFR § 1.41 (c), I am filing the attached application with 29 claims, 14 sheets of drawings and filing fee on behalf of TONY ALBRECHT, NORBERT LINDER and JOHANN LUFT and request that the application papers be assigned a serial number and filing date.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(f).

Respectfully submitted,

  
James D. Hobart (Reg. No. 24,149)  
Attorney for Applicant